

FS-ISAC Securities Industry — Group and Councils

FS-ISAC Securities Industry Risk Group (SIRG)

The Financial Services Information Sharing and Analysis Center (FS-ISAC) provides nearly 7,000 financial institutions in 39 countries with the tools and resources needed to protect themselves against cyber and physical threats and attacks posed by terrorism, cybercriminals, nation states and other malicious adversaries. FS-ISAC provides members with the products and services needed including a variety of councils and working groups.

The SIRG is comprised of more than 350 FS-ISAC members with various roles including CISO, CRO, IT operations and corporate security. Members have an involvement in the securities industry such as asset managers, broker-dealers, hedge funds, private equities, securities exchanges, payments and transfer agents, retirement and pension fund firms and insurers. All organizations that operate in the securities industry are eligible to join the SIRG as well as the four councils within the group. These councils operate both independently and with the SIRG to share information related to solving challenges specific to organizations in the securities industry. Representation and operation of the SIRG consists of the chairperson(s) for each of the councils and members.

Alternative Investors Council (AIC)

The AIC provides an information sharing forum for FS-ISAC member firms in the alternative investment industry including hedge funds, private equity and venture capital firms. Members of the AIC are employees of FS-ISAC member firms in the alternative investments space whose responsibilities include regulatory compliance, information and network security, threat detection, threat intelligence and/or incident response.

Asset Manager Council (AMC)

The AMC provides a forum for asset managers to share information related to cybersecurity and intelligence. Members of the AMC are employees of FS-ISAC member firms in asset management and whose responsibilities include regulatory compliance, information and network security, threat detection, threat intelligence and/or incident response.

Broker-Dealer Council (BDC)

The BDC provides a forum for and to assist FS-ISAC members who have an involvement in the broker-dealer community to share information related to solving information security challenges. The BDC focuses on the collaboration of critical security threats facing members that are involved in the broker-dealer services. Members of the BDC are employees of FS-ISAC member firms who are broker-dealers and whose responsibilities include regulatory compliance, information and network security, threat detection, threat intelligence and/or incident response.

Retirement Industry Council (RIC)

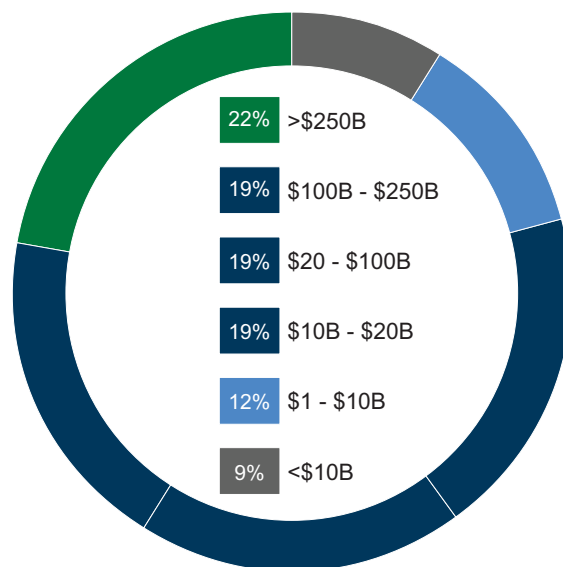
The RIC provides a forum for and to assist firms involved in the more than \$25 trillion retirement investment community in the United States and abroad. The RIC shares information about solving security challenges and focus on the combination of physical and cybersecurity threats faced by the retirement industry. Members of the RIC are members of FS-ISAC and/or members of the SPARK Institute's Data Security Oversight Board (DSOB). Through collaboration, the council will also provide trusted best practices on security controls and priorities.

Outreach and Communications

Communication within the SIRG is done by a mailing list (one for each council and one for the SIRG) and the following newsletters:

- Summary reports (daily) - A daily digest for a quick perusal of the current state and previous day's events in an easily consumable format.
- Cybersecurity tips newsletter (monthly) - A newsletter with the latest security tips can be customized with your organizations name and logo to be sent to employees and customers.
- SIRG newsletter (twice a month) – A summary for C-suite management provides a high-level recap of security threats, impact to financial intuitions and remedial steps.

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Size of SIRG Members (by asset under management)



About FS-ISAC

The Financial Services Information Sharing and Analysis Center (FS-ISAC) a non-profit corporation that was established in 1999 and is funded by its member firms. With about 7,000 members worldwide, FS-ISAC is a member-driven organization whose mission is to help assure the resilience and continuity of the global financial services infrastructure and individual firms against acts that could significantly impact the sector's ability to provide services critical to the orderly function of the global economy. FS-ISAC shares threat and vulnerability information; conducts coordinated contingency planning exercises; manages rapid response communications for both cyber and physical events; conducts education and training programs; and fosters collaborations with and among other key sectors and government agencies. To learn more follow us on Twitter @FSISAC, join the discussion on LinkedIn or visit fsisac.com.

FS-ISAC Provides Members

Information Sharing

- More than 500,000 tracked indicators
- Around 10,000 threat repository daily requests
- Close to 420 major monthly advisories
- Twice monthly threat calls with more than 500 attendees
- Critical Infrastructure Notification System (CINS) used to automate crisis notifications



Education and Training

- Expert webinar series featuring SMEs
- FS-ISAC learning management system
- Topic-specific briefings and webinars



Crisis Response and Exercises

- All-Hazards Crisis Response playbooks
- Hamilton series of exercises
- Cyber-range exercises



Intelligence and Analysis

- Full-time ISAC analysis team (IAT)
- Three security operations centers
- Staff embedded at NCCIC (US National Cybersecurity and Communications Integration Center)
- Threat advisories
- Mitigation strategies
- Cyber-intelligence tradecraft trainings



Summits and Events

- Four major annual Summits
- Cross-sector summits
- CISO congress
- Regional member meetings



Membership Sized to Fit Your Institution

FS-ISAC members can take advantage of a host of important benefits, products and services. Gain the full value of information sharing and our services by becoming a member. To learn more about membership levels and pricing email marketing@fsisac.com; call 877-612-2622, prompt 3; or visit fsisac.com/join/.